



Spraoi Chill Mhantáin

Data Retention Policy



early
childhood
ireland

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1. Introduction

Spraoui Chill Mhantáin strives to comply with applicable laws and regulations related to the retention of personal data in Ireland.

This policy outlines the basic rules by which Spraoui Chill Mhantáin manages the retention of the personal data of parents, children, suppliers, employees and other individuals that is processed by Spraoui Chill Mhantáin. The policy sets out the required retention periods for different categories of data and sets out the minimum standards to be applied when destroying certain information.

2. Who is this policy for?

All employees either permanent or temporary, all contractors, all volunteers and students, regardless of their length of employment/placement in the service, are required to read and understand this document, so they are fully aligned with the policy of Spraoui Chill Mhantáin. This document will be made available to parents or guardians on request.

This policy applies to all data used at Spraoui Chill Mhantáin. Examples of data include:

- Emails
- Hard copy documents (child record forms, attendance records etc.)
- Soft copy documents (scanned enrolment form etc.)
- Video, audio and photographs
- Data generated by physical access control systems (Keypads, Fob systems etc.)

3. Retention Rules

Retention Schedule

The Owner/Manager defines the time period for which documents and electronic records should be retained through the Data Retention Schedule. These retention periods are predominantly determined by statutory obligations.

As an exemption, retention periods within the Data Retention Schedule will be prolonged in cases such as:

- Ongoing investigations from Irish authorities, if there is a chance records of personal data are needed by Spraoui Chill Mhantáin to prove compliance with any legal requirements; or
- When exercising legal rights during legal cases or similar court proceedings recognised under Irish law.

Safeguarding of Data during Retention Period

If personal data is physically retained in hard copy format this personal data may become out of date quickly and this will be considered by the Owner/ Manager. If personal data is retained on electronic storage media (hard drive, server) or in the cloud, the Owner/ Manager will ensure that backup copies of



the information also is available. The 3-2-1 backup strategy will be used: 3 copies total, 2 local copies, 1 offsite. Responsibility for the storage of data falls to the Owner/Manager.

Destruction of Data

Spraoui Chill Mhantáin and its employees will regularly review all data, whether held electronically or in hard copy format, to decide whether to destroy or delete any data once the purpose for which those documents were created is fulfilled. See Appendix 1 which outlines the Data Retention Schedule. Overall responsibility for the destruction of data falls to the Owner / Manager.

Once the decision is made to dispose of personal data according to the Data Retention Schedule, the data will be deleted, shredded or otherwise destroyed appropriately.

The method of destruction varies and will be dependent upon the nature of the document. For example, any documents that contain sensitive or confidential information (and particularly sensitive personal data) will be disposed of as confidential waste and be subject to secure electronic deletion. The Document Disposal Schedule section below defines the method of disposal.

The specific deletion or destruction process may be carried out either by an employee or by an internal or external service provider that the Owner/ Manager subcontracts for this purpose. Destruction of data is always approved by the Owner / Manager and the details recorded. Any applicable general provisions under relevant data protection laws and Spraoui Chill Mhantáin's Personal Data Protection Policy shall be complied with.

Appropriate controls are in place to prevent the permanent loss of essential information of Spraoui Chill Mhantáin as a result of malicious or unintentional destruction of information. To this end **ONLY MANAGERS AND SENIOR MANAGERS ARE ALLOWED ANY ACCESS TO STORED FOLDERS RELATING TO STAFF QUALIFICATIONS, OWT INFORMATION, PAY SLIPS, AND INFORMATION ON THE CHILDREN OF THE SERVICE. ONLY MANAGERS SHOULD APPROACH THESE FOLDERS. SHOULD THERE BE A NEED TO APPROACH A CHILD'S FILE THE MANAGER (OR HIS/HER DEPUTY IN THE EVENT OF ABSENCE) SHOULD BE THE ONLY PERSON APPROACHING THE FILES. A BREACH OF THIS CONTROL OR THE REMOVAL OF ANY FILES FROM THE SERVICE WILL BE CONSIDERED GROSS MISCONDUCT.**

The Owner/ Manager shall fully document and approve the destruction process

Breach, Enforcement and Compliance

The person appointed with responsibility for Data Protection, the Owner / Manager, ensures that each employee complies with this policy. It is also the responsibility of the Owner/ Manager to assist any local office with enquiries from any local data protection or governmental authority.

Any suspicion of a breach of this Policy must be reported immediately to the Owner / Manager. All instances of suspected breaches of the Policy shall be investigated, documented and action taken as appropriate.

Failure to comply with this Policy may result in adverse consequences, including, but not limited to, loss of customer confidence and possibly litigation, financial loss and damage to Spraoui Chill Mhantáin reputation, personal injury, harm or loss. Non-compliance with this Policy by employees, or any third parties, who have been granted access to Spraoui Chill Mhantáin premises or data, may therefore result in



disciplinary proceedings or termination of their employment or contract. Such non-compliance may also lead to legal action against the parties involved in such activities.

4. Document Disposal

Routine Disposal Schedule

Records (only those containing personal data) which may be routinely destroyed unless subject to an on-going legal or regulatory inquiry are as follows:

- Announcements and notices of day-to-day activities;
- Message slips;
- Outing reminder slips;
- Newsletters.

The Owner/Manager will determine what documents can be routinely destroyed.

If there is a current court case or legal proceedings, all documents will be retained. Advice will be sought before disposing of documentation that may be subject to legal proceedings.

Destruction Method

Documents that include any personal data shall be disposed of confidentially (cross-cut shredded and incinerated) and shall be subject to secure electronic deletion if stored electronically. The Data Disposal Schedule will be completed in all cases of disposing of documents containing personal data. Confirmation of destruction will be sought as needed.

5. Validity and document management

This document is valid from _____ (date).

The owner of this document is the Owner / Manager, who must check and, if necessary, update the document at least once a year.

This policy was adopted by Spraoi Chill Mhantáin on Date: _____

Signed by: _____ On behalf of Management
Position in Setting (Manager or Chairperson of Board of Management)

This policy will be reviewed by _____ on _____

Appendix – Data Retention Schedule

Child Records	
Personal Data Record Type	Retention Period & Notes



Child Record/Registration Forms including the consent forms.	2 years from the time the child ceases to attend service – required by the 2016 Early Years Services Regulations. Information on the child record form may be required to be held longer in certain circumstances (if there has been an accident or incident)
Child Accident & Incident Records	2 years from the time the child ceases to attend service – required by the 2016 Early Years Services Regulations. Until the child referred to in the record is 21 years of age – recommended for insurance purposes.
Attendance records	2 years from the time the children referred to in the record cease to attend service – required by the 2016 Early Years Services Regulations. Until the child referred to in the record is 21 years of age – recommended for insurance purposes.
PPS details of child/parent and social welfare details of parent/guardian.	Retain for period of time it takes to submit registration on PIP
Medication administered with signed parental consent	2 years from the time the child ceases to attend service – required by the 2016 Early Years Services Regulations.
Child Observations	Issued to the parents/guardians of the child when they leave the service.
Child Development Records	Issued to the parents/guardians of the child when they leave the service.
Photographs/videos and associated consent forms.	It is recommended that all photographs will be deleted/destroyed one year after the child has left the childcare service.

Employee Records	
Personal Data Record Type	Retention Period & Notes
Employee files, all files relating to a staff member.	6 years after employee ceases employment
Employee Registration Form	5 years
Garda Vetting Forms & Responses	5 years from the date employee commences employment or length of time employee works in the service – retain data for whichever time period is longer.
Employee References	5 years from the date employee commences employment or the length of time the employee works in the service – retain data for whichever time period is longer.
Revenue Payslips P45 etc	6 years
Working Time Records	3 years



Minimum Wage Records	3 years
Staff Accident or Incident records	10 years
Annual Leave Records	3 years after employee ceases employment
Sick Leave Records	3 years
Sick Leave Payments	3 years
Maternity Leave	1 year
Adoptive Leave	1 year
Parental Leave	8 years
HR documents (disciplinary, grievance documents etc.)	6 years after employee ceases employment or longer based on legal advice
Paternity Leave	8 years
Force Majeure Leave	8 years
Careers Leave	8 years
Hazard Analysis & Critical Control Point (Food Safety)	2 years

Operational Records	
Personal Data Record Type	Retention Period & Notes
Fire Safety Records (including Fire Drills)	5 years – required by the 2016 Early Years Services Regulations.
CCTV Footage (If applicable)	28 days or for the duration of an investigation.
Visitors Book	1 year from the date that it relates to – required by the 2016 Early Years Services Regulations.
Staff Training Files	6 years after employee leaves the company.
Complaints and associated documents	2 years from the date the complaint was dealt with – required by the 2016 Early Years Services Regulations.
Job Applications / Applicants C. V's	1 year
Interview notes	1 year for shortlisted – 6 years after the employee leaves the company.
Job Vacancy Notifications / Advertisements / Job descriptions	1 year

Financial Records	
Personal Data Record Type	Retention Period & Notes
Accounts	7 years

Note: This retention schedule is based on current statutory obligations and may be subject to change.

Appendix – Data Disposal Schedule

Name of Records	Description	Inclusive Dates	Date of Destruction	Method of Destruction	Disposed of by
Example: child record forms	Child record forms which include the following details {medical info,	Children attending the service from	01/05/2018	Shredded	Owner / Manager Name



	address info, DOB, parental info etc}	September 2012- June 2013			

